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# Voluntary Emission Reductions in Rice Management Systems – Midsouth Module

Version 1.0

Prepared by:



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White River Irrigation District



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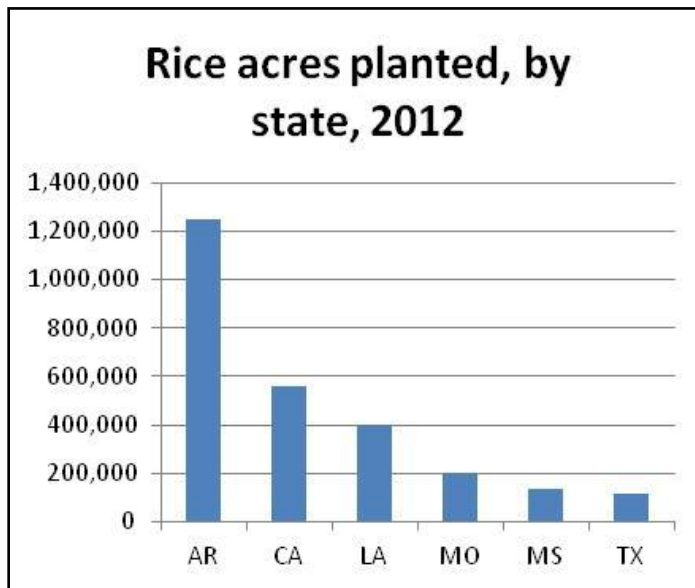
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1

## 2 **1 Introduction**

3 Rice is a major cropping system in five states of the Mid-South of the U.S (Figure 1). Of those five states,  
4 Arkansas is the largest rice-producing state in the United States, producing rice on approximately 1.2  
5 million acres (486,000 hectares) in 2012.

6



7

8 Figure 1. Acreage of rice planted in the U.S. by state. This module extends the existing and approved ACR  
9 methodology *Voluntary Emission Reductions in Rice Management Systems* (posted at  
10 [http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems)  
11 [management-systems](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems)) to work specifically for the Mississippi River Delta region, and the Louisiana Gulf  
12 Coast. This parent methodology includes procedures to quantify emission reductions from reduced  
13 methane production through changes in water and straw management practices. This module includes  
14 two practices that were also included in the California module of the parent methodology: straw  
15 removal after harvest, and early drainage at end of growing season. It also includes additional practices:  
16 intermittent flooding (alternate wetting and drying of rice fields during the growing season), and  
17 increasing water use efficiency and pumping efficiency (through converting ungraded fields to precision  
18 grade, precision grade to zero grade, or ungraded fields to zero grade; implementing side inlet/poly  
19 piping systems; switching to efficient diesel pumps and converting from diesel to electric pumps; and  
20 installation of soil moisture sensors to accurately control pumps and motors).

21 Reductions in nitrous oxide emissions from improved fertilizer practices and increasing fertilizer  
22 efficiency can be quantified, in addition to a reduction in methane production, using the approved ACR  
23 methodology “N<sub>2</sub>O Emissions Reductions through Changes in Fertilizer Management.” (posted at

24 [http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emissions-reductions-](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emissions-reductions-through-changes-in-fertilizer-management)  
 25 [through-changes-in-fertilizer-management](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emissions-reductions-through-changes-in-fertilizer-management)).

26 The outline of the module follows the steps as required in the parent methodology. This module must  
 27 be used in conjunction with the parent methodology. All definitions and acronyms outlined in the parent  
 28 methodology are relevant for this module.

29 The purpose of this module is to provide requirements and guidance for a Project Proponent using the  
 30 ACR methodology *Voluntary Emission Reductions in Rice Management Systems* to design, implement  
 31 and register a rice GHG offset project in the Midsouth U.S. Rice-Growing Regions covered in this module.  
 32 The primary intended audiences are Project Proponents, Validation/Verification Bodies (VVBs),  
 33 regulators, and other stakeholders. The authors expect that rice growers implementing the GHG  
 34 mitigation practices eligible in this module will work with a Project Proponent, potentially serving as the  
 35 aggregator of multiple rice growers, to use the methodology and prepare a GHG Project Plan.

36 The goal of the practices described in this module is to reduce GHG emissions in order to create  
 37 marketable GHG offset credits. The included practices are included because they may decrease GHG  
 38 emissions relative to the baseline scenario without, according to studies available to the authors,  
 39 decreasing rice yield or milling quality. The goal is not to implement any practice that decreases yield or  
 40 milling quality. We emphasize ACR is not making agronomic recommendations and all practices in this  
 41 module are fully voluntary. If conditions in a particular year make it impossible to continue the practice,  
 42 there is no penalty other than receiving no credits for the practice in that year.

43 **2 Step 1. Definition of Included Project Activities**

44 As a first step, it is important to unambiguously define project activities. While the definitions must be  
 45 workable for growers and, therefore, be robust with respect to variations in weather, the definitions  
 46 must also be sufficiently rigorous within the context of a carbon offset methodology, and verifiable by a  
 47 Validation and Verification Body (VVB).

48 **Table 1. Definitions of included project activities.**

Included Project Activity	Definition
Straw Baling and Removal	After harvest, rice straw residue is often left on agricultural fields. However, rice straw can be removed from the field by baling. Baled straw can be sold, however the market is small and demand is limited. Rice straw can be used for erosion control, animal bedding, as an alternative feed for cow and calf producers (Nader et al., 2010), or for other purposes noted in 8.3.2 of the parent methodology “Voluntary Emission Reductions in Rice Management Systems” (posted at <a href="http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems">http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems</a> ).

Included Project Activity	Definition
Early Drainage	<p>Early Drainage is defined as terminating water applications and draining<sup>1</sup> a field at least 5 days earlier than the drainage date under conventional management (“Conventional Drainage Date”).<sup>2</sup> Since there is not one single procedure to determine the Conventional Drainage Date that is used by all producers across all Rice Growing Regions, the procedure to set the Conventional Drainage Date as used by a specific participating grower shall be recorded in the GHG Project Plan and approved by a VVB.</p> <p>Under conventional management, the date on which no further irrigation water is applied to a field occurs at a fixed time following a plant growth stage. This module strongly encourages the use of the DD50 model or process-based rice models such as RicePSM or ORYZA. Specifically, the date on which no further irrigation water is applied is determined relative to the date of heading as prescribed by the DD50 crop growth simulation model. The use of the DD50 model or a process-based rice model rules out any subjectivity in determining a plant growth stage.<sup>3</sup></p>
Increased Water and/or Energy Use Efficiency	<p>Increase water and/or energy use efficiency through practices including but not limited to:</p> <ul style="list-style-type: none"> <li>(1) conversion of ungraded fields to precision grade, precision grade to zero grade, or ungraded fields to zero grade,</li> <li>(2) improved pipe configuration (e.g., side inlet systems, poly piping/ poly tubing systems) which lead to more rapid flood establishment,</li> <li>(3) switching to more energy efficient combustion engines<sup>4</sup>,</li> <li>(4) switching from pumps using combustion engines to electric pumps,</li> <li>(5) adopting soil moisture sensors that can reduce water consumption by allowing more precise tailoring of flooding and draining to the water needs of rice plants.</li> </ul> <p>Any technology or measure a grower can adopt that demonstrably increases water and/or energy use efficiency is eligible here, and will be demonstrated through a verified improvement in water use efficiency or reduction in diesel consumption.</p> <p>Note that simply implementing these changes and infrastructure does not automatically generate credits. The producer must demonstrably have increased</p>

<sup>1</sup> Draining is defined as the point in time at which a grower stops water applications to a non-flooded field and/or removes water (e.g., by pulling the boards) and stops water applications to a flooded field.

<sup>2</sup> This methodology does not endorse a specific procedure to set the Conventional Drainage Date or the early drainage date. Producers are advised to use the judgment of extension staff or other experts to determine a drainage date that is appropriate for their specific circumstances.

<sup>3</sup> The Arkansas DD50 model is described at <http://dd50.uaex.edu/dd50WebGuide.asp>

<sup>4</sup> Older-generation diesel pumps are significantly less fuel-efficient than modern diesel pumps or electric pumps.

Included Project Activity	Definition
	water and/or energy use efficiency.
Intermittent Flooding	Cyclical wetting and drying of rice field during the growing season. During the dry-down phase, irrigation is ceased and the flood is allowed to subside naturally to the point where no standing water exists in the paddy. However, parts of the soil may still be saturated with water and contain some puddles of water. <sup>5</sup> If part of a field remains flooded, at this point, as generally occurs with precision-leveled or ungraded fields, only the portion of the field where no standing water is present may be included for this project activity. Hence, no credits for intermittent flooding will be generated for the part of the field that remains flooded. Intermittent flooding may encompass one or more wetting-drying cycles. <sup>6</sup>

49

50 Note that the project activities listed above may be combined with a reduction in N fertilization rate  
 51 (e.g. from a typical N rate application rate of 150-180 lbs N/acre (168-202 kg/ha) to 125 lbs N/acre (140  
 52 kg/ha)). The accounting for a reduction in N fertilization rate, including how to set the business-as-usual  
 53 fertilization practice, and how to quantify the GHG benefits from reducing N rate shall follow the  
 54 approved ACR methodology “N<sub>2</sub>O Emissions Reductions through Changes in Fertilizer Management”<sup>7</sup>.  
 55 Specifically, only one set of DNDC runs (i.e., baseline and project scenarios) needs to be prepared  
 56 according to the procedures of this module and the N<sub>2</sub>O emission reduction methodology. It is allowed  
 57 (but not necessary) to produce only one GHG Project Plan and verification that covers both  
 58 methodologies, as long as all requirements of both methodologies are met and included in the GHG  
 59 Project Plan(s).

60 In addition to the practices in the parent methodology “Voluntary Emission Reductions in Rice  
 61 Management Systems”, this module also allows the generation of credits from reduced GHG emissions  
 62 related to increased irrigation water-use efficiency or pump/well efficiency. Specifically, the practice  
 63 “Increased Water and/or Energy Use Efficiency” includes two types of project activities: those that  
 64 increase the efficiency of water distribution and thus reduce total water consumption without

<sup>5</sup> The lack of standing water is insufficient to reduce methane emissions since saturated soils will still result in CH<sub>4</sub> emissions. Emission reductions will only be generated when the moisture content of a soil decreases below the saturation point. The DNDC model simulates the reduction of methane emissions after standing water has been removed and the soil dries down below the saturation point.

<sup>6</sup> Field trials have clearly indicated that this practice leads to methane emission reductions. In addition, the DNDC model is able to estimate the emission reductions conservatively. However, active research is on-going to better understand the exact circumstances under which the methane reductions are maximized. A drying out cycle potentially increases nitrous oxide emissions. This increase in nitrous oxide emissions will be simulated by the DNDC model and will be accounted for when following the standard accounting procedures. As more data becomes available from studies directly measuring N<sub>2</sub>O fluxes under different fertilization and irrigation treatments, this flux data may be used to continually improve calibration and validation of the DNDC model.

<sup>7</sup> See <http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emissions-reductions-through-changes-in-fertilizer-management>.

65 necessarily improving fuel efficiency per acre-inch of water pumped; and those that improve energy use  
 66 efficiency and hence reduce energy consumption. Examples of practices that fall in either category are  
 67 included in Table 2 below. Note this list merely serves as an example. The list is therefore non-  
 68 exhaustive; other practices and technologies that enhance water and/or fuel efficiency may be used.

69 **Table 2. Examples of practices under project activity Increased Water and/or Energy Use Efficiency.**

<b>Examples of practices that, if used properly, reduce irrigation water requirements of the rice cropping system but do not improve energy use efficiency of irrigation well (i.e., energy consumed per acre-inch of water pumped)</b>
<ul style="list-style-type: none"> <li>(1) Precision-leveling of field and/or conversion to zero grade,</li> <li>(2) Improved flood distribution (e.g., multiple- (side) inlet or similar system) which leads to more rapid flood establishment and improved control of rice flood that reduces over-pumping and increases rainfall capture, and</li> <li>(3) Adoption of flood depth and/or soil moisture sensors that can reduce water consumption by allowing more precise tailoring of irrigation to meet the water needs of rice plants.</li> </ul>
<b>Examples of practices that, if used properly, improve energy use efficiency of irrigation well but that do not reduce irrigation water requirements of the rice cropping system</b>
<ul style="list-style-type: none"> <li>(1) Switching from older or less efficient to newer or more efficient combustion engines,</li> <li>(2) Switching power units from internal combustion engines to electric motors.</li> <li>(3) Performing engine-well pump performance optimization procedures (e.g., maximizing flow rate (GPM) while minimizing engine RPM, and</li> <li>(4) Performing pump and well maintenance and repair (e.g., impeller maintenance/repair or screen maintenance/repair).</li> </ul>

70  
 71 These practices may be implemented in combination – e.g. simultaneously installing plastic-tubing (poly  
 72 piping and poly tubing) systems and more efficient combustion engines – which would reduce GHG  
 73 emissions through both fewer acre-inches of water and lower GHG emissions per acre-inch pumped. The  
 74 quantification of GHG emissions from energy use shall follow procedures outlined in Section 8 of this  
 75 module.

76 **3 Step 2. Rice Growing Regions**

77 This module is applicable for the Mississippi River Delta (MRD) Rice Growing Region and the Louisiana  
 78 Gulf Coast (LGC) Rice Growing Region, as defined in section 3.2 of the parent methodology “Voluntary  
 79 Emission Reductions in Rice Management Systems”. Within the MRD Rice Growing Region, Arkansas was  
 80 found to have unique conditions requiring a specific uncertainty deduction. As of the date of drafting,  
 81 insufficient data was available for calibration and validation of the DNDC model in the Texas Gulf Coast  
 82 Rice-Growing Region, but this region may be added at a later date.



#### 83 **4 Step 3. Development of Performance Standard (Optional)**

84 All of the Project Activities are completely voluntary because no legal requirements exist that mandate  
85 any of the included Project Activities. As a consequence, Project Activities will be in surplus to applicable  
86 regulations.

87 To evaluate the baseline adoption rate, the common management practices in relation to the proposed  
88 changes in management practices must be investigated. This can be done either by survey data or  
89 through expert opinion.

- 90
- 91 • **If Survey data or Remote Sensing data are used.** The adoption rate must be determined using a  
92 statistically valid survey or remote sensing analysis of producers within the Rice Growing Region  
93 where the Project is located. The survey must be designed to achieve a relative precision that is  
94 better than  $\pm 10\%$  with 90% confidence. In addition, procedures must be in place to minimize  
95 digitization errors. It is acceptable that the survey ultimately has a smaller precision on the  
96 condition that the Project Proponent can demonstrate that this smaller precision was not  
97 impacted by systematic errors. The fields must be selected randomly over all the fields within  
98 the Rice Growing Region. The average of all available survey data (including those published in  
99 validated GHG Project Plans) must be used to calculate the baseline adoption rate. For initial  
100 validation, one adoption rate in the past 5 years suffices to set the baseline adoption rate.  
101 However, upon renewal of a project's Crediting Period, the baseline adoption rate must be set  
102 as the average of at least 2 adoption rates quantified at different time points or through  
103 different surveys in the 5 years preceding the Crediting Period.
  - 104 • **If expert opinion is used.** If 3 independent experts assert that the baseline adoption rate of a  
105 given practice is less than or equal to 4% of the acres on which rice is grown within the Rice  
106 Growing Region, no survey has to be conducted, and projects using the practice must use a  
107 Common Practice Baseline (see Table 3). The independent experts must have at least 5 years of  
108 relevant experience in rice agronomy and must be associated with an academic institution,  
109 government institution, or must be a certified crop advisor with experience in the Rice Growing  
110 Region. The qualifications of the independent experts shall be evaluated during validation of a  
111 GHG Project Plan by the VVB.
  - 112 • **Using adoption rates from already registered projects.** Project Proponents of a new project  
113 should review existing validated GHG Project Plans for rice project activities. If the adoption rate  
114 of the same practice the Project Proponent is implementing, in the same Rice Growing Region,  
115 has been analyzed and is included in a validated GHG Project Plan dated no more than 10 years  
116 prior to the date of GHG Project Plan submittal, Project Proponents may use this adoption rate  
117 and are not required to conduct their own adoption rate analysis. Note that:
    - 118 ○ If more than one validated GHG Project Plan has an adoption rate analysis for the same  
119 practice in the same Rice Growing Region, the average of the published adoption rates  
120 must be used.
    - 121 ○ In the case that a published validated GHG Project Plan(s) includes an adoption rate that  
is no longer representative of the adoption rate of the proposed practice in the Rice

122 Growing Region, due to a disruptive change in management, weather or other variables  
 123 affecting adoption rates, the Project Proponent may exclude this GHG Project Plan(s)  
 124 from the average on the condition that its exclusion can be duly justified to a VVB.

125  
 126 As rice project activities are registered, ACR may publish subsequent versions of this methodology that  
 127 include the baseline adoption rates for particular practices and Rice Growing Regions, along with the  
 128 length of time for which these adoption rates may be used before a new adoption rate analysis is  
 129 required.

130 Based on discussions with industry experts, the following baseline adoption rates are estimated.

131 **Table 3. Adoption rates of included project actions.**

Project Action	Description of adoption and/or adoption rate
<b>Straw Baling and Removal</b>	<ul style="list-style-type: none"> <li>• Expert 1: ≤1% in Mississippi.</li> <li>• Expert 2: &lt;4% for Arkansas</li> <li>• Expert 3: &lt;4% in Arkansas</li> <li>• Expert 4: 1-2% in Arkansas</li> <li>• Expert 5: very low; generally no interest expressed to NRCS by rice growers in Arkansas</li> </ul> <p><b><i>Therefore the adoption of straw baling and removal is less than 5%, and can use Common Practice Baseline.</i></b></p>
<b>Early Drainage</b>	<ul style="list-style-type: none"> <li>• Expert 1: ≤4% of rice flood is intentionally drained early in Mississippi.</li> <li>• Expert 2: &lt;4% for Arkansas</li> <li>• Expert 3: &lt;4% in Arkansas</li> <li>• Expert 4: 1-2% in Arkansas</li> <li>• Expert 5: &lt;4% in Arkansas</li> </ul> <p><b><i>Therefore the adoption of early drainage is less than 5%, and can use Common Practice Baseline.</i></b></p>
<b>Increased Water and/or Energy Use Efficiency</b>	<p><b>(1) conversion of ungraded fields to precision grade, precision grade to zero grade, or ungraded fields to zero grade.</b></p> <ul style="list-style-type: none"> <li>• Expert 1: Based on a variety of surveys, ≥ 70% of the rice acres in Mississippi are precision-leveled, meaning that ≤30% of rice is grown in contoured fields.</li> <li>• Expert 2: 6% of acres in Arkansas are zero grade; precision grade plus zero grade represents about 50% of acres.<sup>8</sup></li> <li>• Expert 3: Up to 25% are precision leveled or zero grade in AR. Many fields that are “put to grade” soon require re-leveling.</li> </ul>

<sup>8</sup> Wilson, C. E., S. K. Runsick, and R. Mazzanti. 2008. Trends in Arkansas Rice Production. In R.J. Norman et al. editors, B.R. Wells, Rice Research Studies 2008. Arkansas Agricultural Experiment Station, Division of Agriculture. Research Series 571. Fayetteville, Arkansas. ISSN: 1931-3764. pp 13-23.

Project Action	Description of adoption and/or adoption rate
	<ul style="list-style-type: none"> <li>• Expert 4: Approximately 5% of acres are zero grade. Precision grade plus zero grade may be 40%.</li> <li>• Expert 5: 5% or less of acres are zero grade. Over the past five years, NRCS has paid for leveling of approximately 70,000 acres, of which approximately half zero grade and half precision graded.</li> </ul> <p><b><i>Therefore increased water and/or energy use efficiency by the conversion of ungraded fields to precision grade, precision grade to zero grade, or ungraded fields to zero grade is greater than 5%, and must use a Field-Specific Baseline.</i></b></p> <p><b>(2) improved pipe configuration</b></p> <ul style="list-style-type: none"> <li>• Expert 1: 20% of rice acres are using poly tubing in Mississippi.</li> <li>• Expert 2: use of poly piping is perhaps 15-20% of acres in Arkansas. Higher in northeast Arkansas than other parts of the state.</li> <li>• Expert 3: use of poly piping seems to be decreasing in Arkansas based on most recent surveys.</li> <li>• Expert 4: use of poly piping is relatively low – greater than 5% of acres, but less than 30%, in Arkansas.</li> </ul> <p><b><i>Therefore increased water and/or energy use efficiency by improving pipe configuration is greater than 5%, and must use a Field-Specific Baseline.</i></b></p> <p><b>(3) switching to more energy efficient combustion engines</b></p> <ul style="list-style-type: none"> <li>• Expert 1: most diesel pumps are kept in service a long time, due to capital costs, so replacement rate is low in Mississippi.</li> <li>• Expert 2: less than 4%.</li> <li>• Expert 3: less than 4%.</li> <li>• Expert 4: there are about 30,000 diesel engines in Arkansas rice fields, which are being converted at less than 1% per year. Definitely less than 4% have been converted. Diesel engine upgrades, switch to electric, and pump automation technologies combined have an estimated adoption level around 1%.</li> </ul> <p><b><i>Therefore increased water and/or energy use efficiency by switching to more energy efficient combustion engines is less than 5%, and can use Common Practice Baseline.</i></b></p> <p><b>(4) switching from pumps using combustion engines to electric pumps</b></p> <ul style="list-style-type: none"> <li>• Expert 1: in Mississippi switch is generally attractive, but rate of adoption is low, partly due to limited access to 3-phase power.</li> <li>• Expert 2: less than 4%.</li> <li>• Expert 3: less than 4%.</li> <li>• Expert 4: there are about 30,000 diesel engines in Arkansas rice fields,</li> </ul>

Project Action	Description of adoption and/or adoption rate
	<p>which are being converted at less than 1% per year. Definitely less than 4% have been converted. Diesel engine upgrades, switch to electric, and pump automation technologies combined have an estimated adoption level around 1%.</p> <p><b><i>Therefore increased water and/or energy use efficiency by switching from pumps using combustion engines to electric pumps is less than 5%, and can use Common Practice Baseline.</i></b></p> <p><b>(5) adopting soil moisture sensors</b></p> <ul style="list-style-type: none"> <li>• Expert 1: very low adoption rates in Mississippi.</li> <li>• Expert 2: very low adoption rates, really just an experimental technology. Less than 4% in Arkansas.</li> <li>• Expert 3: near zero adoption in Arkansas.</li> <li>• Expert 4: very low adoption, way below 4% in Arkansas.</li> </ul> <p><b><i>Therefore increased water and/or energy use efficiency by adopting soil moisture sensors is less than 5%, and can use Common Practice Baseline.</i></b></p>
<p><b>Intermittent flooding during growing season</b></p>	<ul style="list-style-type: none"> <li>• Expert 1: ≤4% of rice flood is intentionally managed using intermittent flooding in Mississippi.</li> <li>• Expert 2: &lt;4% for Arkansas.</li> <li>• Expert 3: 0% in Arkansas.</li> <li>• Expert 4: &lt;4% in Arkansas.</li> </ul> <p><b><i>Therefore the adoption of intermittent flooding during the growing season is less than 5%, and can use Common Practice Baseline.</i></b></p>

132

133 **5 Step 4. Identification of Critical and Non-Critical Management**  
 134 **Parameters**

135 Refer to the parent methodology “Voluntary Emission Reductions in Rice Management Systems” for a  
 136 full definition and explanation of the purpose of Critical and Non-Critical Management Parameters. In  
 137 short, critical management parameters are model parameters that are impacted by the project  
 138 activities, either directly or indirectly. Only parameters that are indicated to be critical in Table 3 may  
 139 differ between the baseline and project scenarios.

140 **Table 4. Enumeration of Critical (C) and Non-critical (NC) parameters for each of the included project**  
 141 **activities.**

Input Management Parameter	Project Activity			
	ACT1	ACT2	ACT3	ACT4
	Straw Baling	Early Drainage	Increased Water and/or Energy Use Efficiency	Intermittent Flooding
Harvesting date	NC	C	NC	NC
Fraction of residues left after harvest	C	NC	NC	NC
Crop residue management (tillage) date(s) <sup>9</sup>	C	NC	NC	NC
Crop residue management (tillage) method <sup>10</sup>	C	NC	NC	NC
Crop residue burning date	C	NC	NC	NC
Frequency of winter flooding	C <sup>11</sup>	NC	NC	NC
Start of the winter flooding period (if any)	C	NC	NC	NC
End of the winter flooding period (if any)	C	NC	NC	NC
Spring fertilization amount	C	NC	NC	C
Spring fertilization date	C	NC	NC	NC
Spring fertilization type	C	NC	NC	NC
Spring fertilization application method	C	NC	NC	NC
Pre-plant field preparation (tillage) date	NC	NC	NC	NC
Pre-plant field preparation (tillage) method	C	NC	NC	NC
Planting date	NC	NC	NC	NC
Initial Flooding date and flooding/draining dates during the growing season for intermittent flooding	NC	C	NC	C
Fertilization amount during growing season	NC	NC	NC	C
Fertilization date during growing season or alternatively, plant growth stage at which fertilization is applied.	NC	NC	NC	C
Fertilization type during growing season	C	NC	NC	C
Fertilization application method during growing season	NC	NC	NC	NC
Draining date	NC	C	NC	NC
Fuel/Energy efficiency of pumping system	NC	NC	C	NC
Water pumped	NC	NC	C/NC <sup>12</sup>	C

<sup>9</sup> In case there are multiple tillage events for crop residue management, specify the start date and end date and the number of passes. Similar for pre-plant field preparation tillage events.

<sup>10</sup> Describe equipment used, whether no-tillage or clean till were used etc.

<sup>11</sup> Remaining residue in a field will result in field being wet longer. This, in turn, may impact the decision of whether a field is winter flooded or not.

## 142 **6 Step 5. Demonstration that the DNDC Model Simulates Fluxes in an** 143 **Unbiased Way**

144 Future updates to model calibration/validation and the associated uncertainty equations will be  
145 published in ACR's 'DNDC structural uncertainty deduction factors' addendum. The most updated  
146 uncertainty deduction factors for a given Rice Growing Region, as published in this addendum, are  
147 required to be used at the time of GHG Project Plan Validation.

148 With the exception of Arkansas, values of measured and modeled fluxes and a demonstration that the  
149 **DNDC model simulates fluxes in an unbiased way** according to the procedures in section 14.1.2 of the  
150 parent methodology, as well as a table of uncertainty deduction factors as deduced using the  
151 procedures in this section. It was found that the Arkansas model does not pass the Two One Sided T  
152 (TOST) test for being unbiased. Procedures at the end of this section describe the statistical approach  
153 used for deriving the uncertainty deduction for when the model is biased, that allow Arkansas fields to  
154 be included under the this module.

155 Sixteen different annual fluxes of C emissions were measured for a number of different management  
156 scenarios in the Mississippi River Delta (MRD) and 40 in the Louisiana Gulf Coast (LGC). The same  
157 management scenarios were modeled using the DNDC model. These scenarios represent the Project  
158 Activities. Results from this exercise are summarized in Table 5. Further details can be found in EDF  
159 (2011).

160 **Table 5. Modeled and measured C fluxes from field trials in the Mississippi River Delta (MRD) and the**  
161 **Louisiana Gulf Coast (LGC).**

Observation nr.	Site	Treatment	Year	Modeled [kg C ha <sup>-1</sup> yr <sup>-1</sup> ]	Measured [kg C ha <sup>-1</sup> yr <sup>-1</sup> ]
<b>Mississippi River Delta (MRD)</b>					
1	Stuttgart, AR <sup>1</sup>	Continuous flooding	2012	157.51	138.27
2	Stuttgart, AR <sup>1</sup>	AWD	2012	3.63	6.66
3	Stuttgart, AR <sup>1</sup>	0 kg N/ha	2011	25.81	27.36
4	Stuttgart, AR <sup>1</sup>	112 kg N/ha	2011	92.47	55.81
5	Stuttgart, AR <sup>1</sup>	168 kg N/ha	2011	124.22	61.60
6	Stuttgart, AR <sup>1</sup>	224 kg N/ha	2011	147.27	53.14
7	Stuttgart, AR <sup>1</sup>	Variety: CLX	2012	144.1	74.94
8	Stuttgart, AR <sup>1</sup>	Variety: FRA	2012	107.54	107.47
9	Stuttgart, AR <sup>1</sup>	Variety: JUP	2012	81.94	95.73
10	Stuttgart, AR <sup>1</sup>	Variety: SAB	2012	79.48	105.62
11	Stuttgart, AR <sup>2</sup>	Rice-Rice Flood	2013	177.81	194.53
12	Stuttgart, AR <sup>2</sup>	Rice-Soy Flood	2013	171.99	133.24
13	Stuttgart, AR <sup>2</sup>	Rice-Soy AWD 60	2013	63.91	6.01
14	Stuttgart, AR <sup>2</sup>	Rice-Rice AWD 60	2013	59.45	17.49
15	Stuttgart, AR <sup>2</sup>	Rice-Rice AWD40	2013	37.55	18.41

<sup>12</sup> The water pumped parameter is critical when water use efficiency is changed. If only the fuel use of the pumping system is changed without changing the water use efficiency, this parameter is non-critical.

Observation nr.	Site	Treatment	Year	Modeled [kg C ha <sup>-1</sup> yr <sup>-1</sup> ]	Measured [kg C ha <sup>-1</sup> yr <sup>-1</sup> ]
16	Stuttgart, AR <sup>2</sup>	Rice-Soy AWD 40	2013	36.99	7.63
<b>Louisiana Gulf Coast (LGC)</b>					
1	Lindau, LA <sup>3</sup>	Control	1989	4.1	0.1
2	Lindau, LA <sup>3</sup>	KNO <sub>3</sub>	1989	4.0	0.0
3	Lindau, LA <sup>3</sup>	Urea	1989	4.1	0.3
4	Lindau, LA <sup>4</sup>	Urea_000 kg N	1990	332.7	431.5
5	Lindau, LA <sup>4</sup>	Urea_100 kg N	1990	372.3	362.5
6	Lindau, LA <sup>4</sup>	Urea_200 kg N	1990	354.3	314.9
7	Lindau, LA <sup>4</sup>	Urea_300 kg N	1990	351.5	220.4
8	Lindau, LA <sup>5</sup>	1-urea with plants	1991	852.0	916.4
9	Lindau, LA <sup>5</sup>	2-urea without plants	1991	23.1	45.7
10	Lindau, LA <sup>5</sup>	3-unfertilized plants	1991	476.7	475.9
11	Lindau, LA <sup>5</sup>	4-urea with plants + rice straw	1991	1,604.8	1,921.9
12	Lindau, LA <sup>6</sup>	urea	1991	481.1	354.3
13	Lindau, LA <sup>6</sup>	urea + DCD	1991	481.1	294.3
14	Lindau, LA <sup>6</sup>	urea + ECC	1991	481.1	222.0
15	Lindau, LA <sup>6</sup>	urea + Na <sub>2</sub> SO <sub>4</sub> rate I	1991	473.6	244.4
16	Lindau, LA <sup>6</sup>	urea + Na <sub>2</sub> SO <sub>4</sub> rate II	1991	453.7	231.9
17	Lindau, LA <sup>7</sup>	ammonium sulfate	1991	473.6	297.2
18	Lindau, LA <sup>7</sup>	ammonium sulfate_high	1992	456.9	343.1
19	Lindau, LA <sup>7</sup>	ammonium sulfate_low	1992	465.3	267.6
20	Lindau, LA <sup>7</sup>	control	1992	183.2	209.5
21	Lindau, LA <sup>7</sup>	potassium nitrate_high	1992	373.9	318.3
22	Lindau, LA <sup>7</sup>	potassium nitrate_low	1992	313.1	285.5
23	Lindau, LA <sup>7</sup>	urea_high	1992	371.9	774.8
24	Lindau, LA <sup>7</sup>	urea_low	1992	376.5	381.3
25	Lindau, LA <sup>8</sup>	CaSO <sub>4</sub> = 1000kg	1992	630.3	434.4
26	Lindau, LA <sup>8</sup>	CaSO <sub>4</sub> = 2000kg	1992	494.8	318.7
27	Lindau, LA <sup>8</sup>	CaSO <sub>4</sub> = 0000kg	1992	783.1	589.6
28	Lindau, LA <sup>9</sup>	bengal	1993	645.1	540.9
29	Lindau, LA <sup>9</sup>	cypriss	1993	645.1	570.8
30	Lindau, LA <sup>9</sup>	lacassine	1993	962.7	725.2
31	Lindau, LA <sup>9</sup>	mars	1993	962.7	954.8
32	Lindau, LA <sup>9</sup>	maybelle	1993	962.7	865.5
33	Lindau, LA <sup>9</sup>	tebonnet	1993	1,045.6	1,166.8
34	Lindau, LA <sup>10</sup>	control	1996	455.1	585.6
35	Lindau, LA <sup>10</sup>	g-1	1996	218.4	267.5
36	Lindau, LA <sup>10</sup>	g-2	1996	218.4	262.3
37	Lindau, LA <sup>10</sup>	g-3	1996	218.4	203.9
38	Lindau, LA <sup>10</sup>	pg-1	1996	218.4	314.1
39	Lindau, LA <sup>10</sup>	pg-2	1996	218.4	321.1
40	Lindau, LA <sup>10</sup>	pg-3	1996	218.4	297.1

163 <sup>1</sup>Pittelkow et al, 2013, Yield-scaled global warming potential of annual nitrous oxide and methane emissions from continuously  
 164 flooded rice in response to nitrogen input Agriculture, Ecosystems & Environment, Volume 177, 1 September 2013, Pages 10-  
 165 20.  
 166 <sup>2</sup>University of California, Davis and University of Arkansas, in preparation  
 167 <sup>3</sup>Lindau, CW, W.H. Patrick, R.D. Delaune and K.R. Reddy. 1990. Rate of accumulation and emission of N<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub> from a  
 168 flooded rice soil. Plant and Soil 129: 269-276.  
 169 <sup>4</sup>Lindau, CW, PK Bollich, PD Delaune, WH Patrick, and VJ Law. 1991. Effect of urea fertilizer and environmental factors on CH<sub>4</sub>  
 170 emissions from a Louisiana, USA rice field. Plant and Soil 136: 195-203.  
 171 <sup>5</sup>Lindau, CW and PK Bollich. 1993. Methane Emissions from Louisiana first and ratoon crop rice. Soil Science, 156, p42-47.  
 172 <sup>6</sup>Lindau, CW, PK Bollich, RD Delaune, AR Mosier, and KF Bronson. 1993. Methane mitigation in flooded Louisiana rice fields.  
 173 Biology and Fertility of Soils, 15: 174-178.  
 174 <sup>7</sup>Lindau, CW. 1994. Methane Emissions from Louisiana Rice Fields Amended with Nitrogen Fertilizers. Soil Biology and  
 175 Biochemistry, 26 (3): 353-359.  
 176 <sup>8</sup>Lindau, CW, DP Alford, PK Bollich, and SD Linscombe. 1994. Inhibition of methane evolution by calcium sulfate addition to  
 177 flooded rice. Plant and Soil 158: 299-301.  
 178 <sup>9</sup>Lindau, CW, PK Bollich, RD Delaune. 1995. Effect of rice variety on methane emission from Louisiana rice. Agriculture,  
 179 Ecosystems, and Environment 54: 109-114.  
 180 <sup>10</sup>Lindau, CW, P Wickersham, RD Delaune, JW Collins, PK Bollich, LM Scott, EN Lambremont. 1998. Methane and nitrous oxied  
 181 evolution and 15N and 226Ra uptake as affected by application of gypsum and phosogypsum to Louisiana rice. Agriculture,  
 182 Ecosystems, and Environment 68: 165-173.  
 183

184 **Table 6. Basic statistics for the calibration and validation set for the Louisiana Gulf Coast (LGC)**

Parameter	LGC
Slope of regression through origin (mean ± standard error)	0.9534 +/- 0.0384
P-value for slope > 1.1	0.0003
P-value for slope < 0.9	0.0865
<i>s</i> (kgCO <sub>2</sub> -eq/ ha)	2442.3
$\rho$	0.745
<i>k</i>	40
<i>n</i>	Project Area size in ha
$t_{inv}(0.90, k)$	1.303077

185

Table 6 indicates that the LGC Rice Growing Region passed the Two One Sided T (TOST) test. The slope of regression for the MRD Rice Growing Region was found to be 0.7749 +/- 0.1089, which does not pass the TOST test, showing bias in the model. The main reason is that given the current measurements and calibration, the model is over-estimating emissions to a minor but statistically significant extent. Section Step 5. Demonstration that the DNDC Model Simulates Fluxes in an Unbiased Way 6.1 includes more on a correction factor to eliminate the impact of bias for the MRD Rice Growing Region, specifically for the state of Arkansas.

186

187 Table 7 summarizes the results of structural uncertainty deduction calculation. This methodology  
 188 requires that a minimum of five fields or 405 ha (1,000 acres) be included. This minimum of 5 required



189 fields corresponds to an uncertainty deduction of 113 kg CO<sub>2</sub>-eq per year and per hectare for the LGC  
 190 Rice Growing Region.

191 **Table 7. Structural uncertainty deduction factors for projects in the Louisiana Gulf Coast (LGC) Rice Growing**  
 192 **Region.**

Project Area size (n) [ha]	Structural Uncertainty deduction per ha [kg CO <sub>2</sub> -eq ha <sup>-1</sup> yr <sup>-1</sup> ]	<i>u<sub>struct</sub></i> [kg CO <sub>2</sub> -eq yr <sup>-1</sup> ]
405 (minimum)	113	45,780
500	102	50,867
750	83	62,299
1,000	72	71,937
2,500	45	113,742
5,000	32	160,856
10,000	23	227,485

193

194 **6.1 Arkansas Derivation of Uncertainty Deduction in the Presence of Model**  
 195 **Bias**

196 *It is the intent that this section will be incorporated as an addendum to the parent methodology*  
 197 *Voluntary Emission Reductions in Rice Management Systems. Despite this omission in the parent*  
 198 *methodology if a bias is found, as was found in Arkansas, this tool maybe used.*

199 In the parent methodology, the model was assumed to be unbiased with normal residuals (see Section  
 200 14.1.3 of parent methodology). The variance of the residuals does not depend on whether the situation  
 201 being modeled is a baseline or project scenario. Thus,

$$PE_{model} = PE_{meas} \varepsilon_1, \varepsilon_1, \sim N(0, \sigma^2)$$

$$BE_{model} = PE_{meas} \varepsilon_2, \varepsilon_2, \sim N(0, \sigma^2)$$

202 Unfortunately, it will sometimes occur that the model cannot be demonstrated to be unbiased using a  
 203 TOST equivalence testing approach (see Section 14.1.2 of parent methodology). Thus, it is necessary to  
 204 introduce a slope and/or intercept to describe the relationship between modeled and measured  
 205 emissions. Specifically,

$$PE_{model} = \beta_0 + \beta_1 PE_{meas} + \varepsilon_1, \varepsilon_1, \sim N(0, \sigma^2)$$

$$PE_{model} = \beta_0 + \beta_1 BE_{meas} + \varepsilon_2, \varepsilon_2, \sim N(0, \sigma_a^2)$$

206 where  $\beta_0$  and  $\beta_1$  are parameters to be estimated from the data. Equivalently, we can write

$$PE_{meas} = \gamma_0 + \gamma_1 PE_{model} + \varepsilon_1, \varepsilon_1, \sim N(0, \sigma^2)$$

$$BE_{meas} = \gamma_0 + \gamma_1 BE_{model} + \varepsilon_2, \varepsilon_2, \sim N(0, \sigma^2)$$

207 where  $\gamma_0 = -\beta_0/\beta_1$ ,  $\gamma_1 = -1/\beta_1$ , and  $\sigma^2 = \sigma_a^2/\beta_1^2$ . It is the latter form that we will actually use to  
 208 estimate the structural uncertainty deduction. As before, our interest is in the quantity

209  $DER_{model} - DER_{measure}$ , i.e.

$$(BE_{model} - PE_{model}) - (BE_{measure} - PE_{measure})$$

$$210 = (BE_{model} - PE_{model}) - [(\gamma_0 + \gamma_1 BE_{model} + \varepsilon_2) - (\gamma_0 + \gamma_1 PE + \varepsilon_1)]$$

$$211 = (1 - \gamma_1)(BE_{model} - PE_{model}) + \varepsilon$$

212 with  $\varepsilon \sim N(0, 2\sigma^2(1 - \rho))$ . We can estimate  $\sigma^2$  as the variance of the residuals of measured on  
 213 modeled values for the field sites, and  $\rho$  as the correlation of the residuals between project-baseline  
 214 pairs. Where the model is estimated based on  $k$  pairs of modeled and measured values, and  $n$  hectares  
 215 of fields are included in the project, then following the rationale of the parent methodology,  
 216 constructing an approximate 1-sided 90% confidence limit for  $DER_{model} - DER_{measure}$ , i.e. yields an  
 217 uncertainty deduction of

$$u_{struct} = n(1 - \gamma_1)(E[BE_{model} - PE_{model}]) = s\sqrt{2n(1 - \rho)} t_{inv}(0.90, k - 2)$$

218 where the expectation in the left hand term indicates the average modeled net emissions reduction on a  
 219 per hectare basis, and  $s$  is the empirical standard deviation of the regression residuals. The degrees of  
 220 freedom in the inverse of the cumulative t distribution is  $k - 2$ , because there are 2 estimated  
 221 parameters in the regression (versus 0 in the original derivation, where the slope was assumed to be 1  
 222 and the intercept was assumed to be 0). The left hand term in the equation adjusts the original modeled  
 223 net emissions reduction for the systematic departure of the model from a 1:1 line. This term will be  
 224 positive when the model tends to over-predict measured emissions, and can be negative if the model  
 225 tends to under-predict measured emissions on average. The second term, which is always positive,  
 226 provides the adjustment for the variability in predictions around the typical model performance. The  
 227 better the model is at predicting measured emissions, following adjustment by a linear calibration, the  
 228 smaller the second term will be.

### 229 6.1.1 Illustration Using Arkansas Data

230 The calculation of a structural uncertainty deduction for a biased model is implemented using data on  
 231 methane emissions from rice production in Stuttgart, Arkansas. Sixteen individual treatments have been  
 232 simulated, and there are 16 baseline-project pairings in the data shown in Table 5.

233 Regression of the measured emissions on the modeled emissions yields  $\gamma_0 = -6.6810$  and  $\gamma_1 =$   
 234  $-0.8245$ . Irrespective of the confidence limits, this value of the slope indicates the model would fail the  
 235 TOST equivalence test. The standard deviation of the residuals is  $s = 25.7525$ .

236 Matching baseline-project pairs and calculating the correlation coefficient, we obtain  $\rho = -0.1468$ .  
 237 This correlation is not significantly different from zero, so we could be forgiven for treating the residuals

238 as uncorrelated, but we retain the correlation coefficient for the sake of illustration. We now have the  
 239 information needed to calculate a generic uncertainty deduction equation for an arbitrary bundled  
 240 project with  $n$  hectares:

$$u_{struct} = 0.1755n(E[BE_{model} - PE_{model}]) + 52.4583\sqrt{n}$$

241 Note that the quantity  $n(E[BE_{model} - PE_{model}])$  is just the total modeled net emissions reduction for  
 242 the project (in kg, not kg/ha). The first term in the structural uncertainty is positive because measured  
 243 emissions tend to be less than modeled emissions, on average. The second term, which is guaranteed to  
 244 be positive, increases with the square root of the project area. Note, however, that on a per-hectare  
 245 basis, the bias-adjusted uncertainty deduction is just a constant fraction of the average net emissions  
 246 reduction per hectare, plus a variability term that diminishes as a fraction of the total deduction as the  
 247 number of hectares bundled in the project grows large.

## 248 7 Template .dnd Input Files

249 The following table is a template .dnd input file with an indication of fixed default values or if values  
 250 must be added by Project Proponents.

The template .dnd file may change during the course of validation, as more model calibration and validation data is included in the analysis and the model is further fine-tuned to eliminate bias in the MRD.

251

252 **Table 8. Template dnd input file for the Mississippi River Delta (MRD) and the Louisiana Gulf Coast (LGC)**

Line	DND Parameter	Selection procedure for value	
		MRD	LGC
1	Input_Parameters:		
2	-----		
3	Site_data:		
4	Simulated_Year:	Provide number of years being simulated	
5	Latitude:	Use latitude of project area	
6	Daily_Record:	0	
7	-----		
8	Climate_data:		
9	Climate_Data_Type:	User defined (1,2 or 4)	
10	NO3NH4_in_Rainfall	1	
11	NO3_of_Atmosphere	0.06	
12	BaseCO2_of_Atmosphere	397	
13	Climate_file_count		
14		Provide path to climate file	
15	Climate_file_mode	1	



Line	DND Parameter	Selection procedure for value	
		MRD	LGC
16	CO2_increase_rate	0	
17	-----		
18	Soil_data:		
19	Soil_Texture	Provide result of empirical soil measurements	
20	Landuse_Type	2	
21	Density	Provide result of empirical soil measurements	
22	Soil_pH	Provide result of empirical soil measurements	
23	SOC_at_Surface	Provide result of empirical soil measurements	
24	Clay_fraction	Provide result of empirical soil measurements	
25	BypassFlow	0	
26	Litter_SOC	0.02	
27	Humads_SOC	0.4	
28	Humus_SOC	0.58	
29	Soil_NO3(-)(mg N/kg)	0.5	
30	Soil_NH4(+)(mg N/kg)	0.05	
31	Soil_PassiveCN	500	
32	Lateral_influx_index	1	
33	Field_capacity	Provide result of empirical soil measurements	
34	Wilting_point	Provide result of empirical soil measurements	
35	Hydro_conductivity	Provide result of empirical soil measurements	
36	Soil_porosity	Provide result of empirical soil measurements	
37	SOC_profile_A	0.2	
38	SOC_profile_B	2	
39	DC_litter_factor	1	
40	DC_humads_factor	1	
41	DC_humus_factor	1	
42	Humad_CN	10	
43	Humus_CN	10	
44	Soil_PassiveC	0	
45	Soil_microbial_index	1	
46	Highest_WT_depth	9.99	
47	Depth_WRL_m	0.3	
48	Slope	0	
	Salinity	0	
49	SCS_curve_use	0	
50	-----		
51	Crop_data:		
52	Rotation_Number	Provide count of rotations	
<b>REPEAT FROM 20 YEARS BEFORE START OF CREDITING PERIOD UNTIL 10 YEARS AFTER START</b>			

Line	DND Parameter	Selection procedure for value	
		MRD	LGC
	<b>CREDITING PERIOD:</b>		
53	Rotation_ID	Provide index of rotation	
54	Totalyear	Provide count of simulation years within rotation	
55	Years_Of_A_Cycle		
56	YearID_of_a_cycle	Provide index of year	
57	Crop_total_Number	1	
58	Crop_ID	1	
59	Crop_Type	20	
60	Plant_time	Provide exact date, for example 5 1	
61	Harvest_time	Provide exact date, for example 9 11	
62	Year_of_harvest	1	
63	Ground_Residue	Set to 1 if no baling is applied, otherwise 0.25 or empirical measurement	
64	Yield	Provide monitored yield	
65	Leaf_fraction	0.22	0.27
66	Leaf_CN	85	
67	Psn_efficiency	0	
68	Psn_maximum	0	
69	Initial_biomass	0	
70	Cover_crop	0	
71	Perennial_crop	0	
72	Grain_fraction	0.48	0.41
73	Stem_fraction	0.23	0.27
74	Root_fraction	0.07	0.05
75	Grain_CN	45	
76	Stem_CN	85	
77	Root_CN	85	
78	TDD	Provided through crop calibration. Initial value is 3000.	
79	Water_requirement	508	
80	Optimum_temp	22	20
81	N_fixation	1.05	
82	Vascularity	1	
83	Tillage_number	Provide count of tillage events	
	<b>REPEAT FOR ALL TILLAGE EVENTS:</b>		
84	Tillage_ID	Provide index of tillage event	
85	Month/Day/method	Provide exact date and method, for example 4 23 3	
	<b>(end of tillage event enumeration)</b>		
94	Fertil_number	Provide count of fertilization events	
	<b>REPEAT FOR EACH FERTILIZATION EVENT:</b>		
95	fertilization_ID	Provide index of fertilization event	

Line	DND Parameter	Selection procedure for value	
		MRD	LGC
96	Month/Day/method	Provide exact date and method, for example 4 30 1	
97	Depth	0.2	
98	Nitrate	Provide exact amount based on fertilizer	
99	AmmBic	Provide exact amount based on fertilizer	
100	Urea	Provide exact amount based on fertilizer	
101	Anh	Provide exact amount based on fertilizer	
102	NH4NO3	Provide exact amount based on fertilizer	
103	NH42SO4	Provide exact amount based on fertilizer	
104	NH4HPO4	Provide exact amount based on fertilizer	
105	Release_rate	1	
106	Inhibitor_efficiency	0	
107	Inhibitor_duration	0	
108	Urease_efficiency	0	
109	Urease_duration	0	
<b>(end of fertilization event enumeration)</b>			
141	Manure_number	0	
142	Plastic_film	0	
143	Ventilation	0	
144	Flood_number	1	
145	FloodWaterN	1	
146	Water_control	0	
147	Leak_rate	0	
<b>REPEAT FOR EACH FLOODING EVENT:</b>			
150	Flooding_ID	1	
151	Flood_Month/Day	Provide exact date, for example 1 1	
152	Drain_Month/Day	Provide exact date, for example 1 31	
153	Water_N	0	
154	Shallow_flood	0	
	Water_gather	1	
	WT_file	None	
	Empirical_parameters	0.00000 0.00000 0.00000 0.00000 0.00000 0.00000	
<b>(end of flooding event enumeration)</b>			
168	Irrigation_number	Needed for dry seeding scenario	
169	Irrigation_type	0 Provide type based on actual irrigation system	
170	Irrigation_Index	0	
171	Grazing_number	0	
172	Cut_number	0	
<b>(end of crediting year enumeration)</b>			
435	Crop_model_approach	0	

## 253 **8 Quantification of GHG Emissions from Energy Use**

254 This section indicates how to quantify GHG emissions from energy use. The calculation of energy use is  
255 only necessary for practices that change the water use efficiency and/or the energy use, which is  
256 practice 3, “Increased Water and/or Energy Use Efficiency” and practice 4, “Intermittent Flooding.” As  
257 the DNDC model does not include any components related to energy or fuel use, these emission  
258 reductions must be calculated using the equations in this section and added to the emission reductions  
259 calculated by the DNDC model as specified in the parent methodology.

260 If the project activity reduces GHG emissions in whole or in part by improving water use efficiency  
261 (reducing water pumped but does not reduce fuel/energy consumption per acre-inch pumped), then:

- 262 • **Baseline Water Pumped** shall be calculated based on the average typical water volume actively  
263 pumped on a specific field during the growing season, before the implementation of water use  
264 efficiency measures. Water pumped shall be measured using either flow rates and pumping  
265 durations, or standard water usage reported in refereed peer-reviewed literature or engineering  
266 handbooks. The Baseline Water Pumped value shall be cross-checked using multiple sources of  
267 data such as multiple pumping stations, handbooks, or literature sources and any discrepancies  
268 in the magnitude of the water usage shall be justified. In case historical water usage is used,  
269 data from multiple years shall be used, so that the baseline represents typical water pumped in  
270 both wet and dry years (since less water will need to be pumped in years with more rain during  
271 growing season). The onus is on the Project Proponent to justify the Baseline Water Pumped to  
272 the VVB with reasonable assurance. However, it must be recognized that strict procedures to  
273 verify the Baseline Water Pumped without any ambiguity cannot be defined.
- 274 • **Project Water Pumped** shall be monitored during the growing season.

275 If the project activity reduces GHG emissions in whole or in part by improving energy use efficiency  
276 (reducing fuel consumption and thus GHG emissions per acre-inch pumped), then:

- 277 • To determine the **Baseline Energy Use**, it is recommended to either use records of energy  
278 and/or fuel use from the past 5 years before conversion, or to run an energy/fuel consumption  
279 test on the old pump before it is removed. If no historical records of fuel or energy use efficiency  
280 from the field itself are available, fuel and/or energy use efficiency from a nearby field or  
281 regional pumping stations with similar pumping systems may be used. Finally, if no historical  
282 records are available on the field itself or on nearby fields, fuel efficiency may be estimated  
283 using standard factors relative to the volume of water pumped on the condition that it can be  
284 demonstrated that the factor is (1) applicable and (2) conservative. Acceptable sources for  
285 standard factors are engineering handbooks, university extension services, scientific literature,  
286 and the pump manufacturer’s guidance and manuals. One way of demonstrating the  
287 conservative nature of standard factors is to use partial historical records.
- 288 • **Project Energy Use** shall be monitored during the growing season and shall be calculated based  
289 on the equations below.

290

291 Baseline and project emissions from fuel and energy use shall be calculated using the following  
 292 equation, and added to the baseline and project emissions ([EQ 3] and [EQ 4], respectively) in the parent  
 293 methodology “Voluntary Emission Reductions in Rice Management Systems”. Equation [EQ 3] with the  
 294 Emissions from Fuel and Energy added is included below. Equation [EQ 4] shall be adjusted similarly.

$$BE_{y,i} = \frac{44}{12} \cdot [CO_2]_{baseline,y,i} + 310 \cdot \frac{44}{28} \cdot [N_2O]_{baseline,y,i} + 21 \cdot \frac{16}{12} \cdot [CH_4]_{baseline,y,i} + E_{fe}$$

$$E_{fe} = EF_{fuel} \cdot Ef_{pump,fuel} \cdot V_{water,fuel} + EF_{elec} \cdot Ef_{pump,elec} \cdot V_{water,elec} \quad [EQ 3]$$

295 Where:

- $BE_{y,i}$  = Baseline emissions in year  $y$  for individual Rice Field  $i$
- $[CO_2]_{baseline,y,i}$  = Baseline carbon dioxide flux rate from changes in SOC content in year  $y$  for individual Rice Field  $i$  as reported by DNDC [ $kg\ C\ ha^{-1}$ ]
- $[N_2O]_{baseline,y,i}$  = Baseline nitrous oxide flux rate in year  $y$  for individual Rice Field  $i$  as reported by DNDC [ $kg\ N\ ha^{-1}$ ]
- $[CH_4]_{baseline,y,i}$  = Baseline  $CH_4$  flux rate in year  $y$  for individual Rice Field  $i$  as reported by DNDC [ $kg\ C\ ha^{-1}$ ]
- $E_{fe}$  = Emissions from fuel and energy [ $tCO_2\text{-eq}\ yr^{-1}$ ]
- $EF_{fuel}$  = Stationary Combustion Emission Factors [ $tCO_2\text{-eq}$  per gallon of diesel]. Obtain from the EPA’s Emission Factors for Greenhouse Gas Inventories, available at <http://www.epa.gov/climateleadership/guidance/ghg-emissions.html>. Note the units of these EPA emission factors vary and care should be taken to convert appropriately: e.g., if the emission factor is reported in  $kg\ CO_2$  per gallon, divide by 1,000 to convert to  $tCO_2\text{-eq}$  per gallon. Ignore the small  $CH_4$  and  $N_2O$  emissions from diesel combustion and use only the  $CO_2$  emission factor.
- $Ef_{pump,fuel}$  = Efficiency of fuel pump [acre-inch of water per Gallon of diesel]
- $V_{water,fuel}$  = Annual water volume pumped by fuel pump [acre-inch of water per year]
- $EF_{elec}$  = Emission factor of electrical pump [ $tCO_2\text{-eq}$  per MWh]. Use latest eGRID data by eGRID sub-region available from <http://www.epa.gov/cleanenergy/energy-resources/egrid/index.html>. At the time of writing, the latest eGRID data was eGRID2012 -- year 2009. Alternatively, regional factors obtained from local utility company may be used. Note that no unit conversion factors are included here as utility companies report Emission Factors in different units. Project Proponents shall convert units appropriately; e.g. for eGRID electricity emission factors, presented in  $lb\ CO_2/MWh$ , divide by 2,204.6 to convert  $lbs\ CO_2$  to metric tons.



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$E_{f,pump,elec}$  = Efficiency of electrical pump [acre-inch of water per MWh]

$V_{water,elec}$  = Annual water volume pumped by electricity pump [acre-inch of water per year]

296 **9 Data and Parameters Not Monitored**

297 These data and parameters are in addition to the data and parameters that are already specified in the  
298 parent methodology “Voluntary Emission Reductions in Rice Management Systems”.

299

Data Unit / Parameter:	Baseline Fuel and/or Energy Efficiency
Data unit:	tCO <sub>2</sub> -eq per gallon of water
Description:	Fuel efficiency of combustion engine connected to pump before switching to an increased-efficiency pumping system. Fuel efficiency shall be expressed as GHG emissions in metric tons CO <sub>2</sub> equivalent (tCO <sub>2</sub> -eq) relative to the volume of water pumped.
Source of data:	<p>Select from following (only select lower options when higher options are not available)</p> <ul style="list-style-type: none"> <li>a) Records of energy and/or fuel use from the past 5 years before conversion to determine the baseline energy and/or fuel use for given volumes of water</li> <li>b) Energy/fuel consumption test on the old pump before it is removed together with field-specific water use or the time the pump is switched on</li> <li>c) Fuel and/or energy efficiency from a nearby field or regional pumping stations with similar pumping systems, or typical fuel efficiency values included in peer-review articles, reports, or other appropriate sources.</li> <li>d) Standardized efficiency factors included in refereed peer-review articles, reports, or other appropriate sources.</li> </ul> <p>For options (c) and (d), it must be demonstrated that the approach is both applicable and conservative.</p> <p>Fuel (diesel) use shall be converted into tCO<sub>2</sub>-eq using Equation (2) in the approved CDM tool “Estimation of GHG emissions related to fossil fuel combustion in A/R CDM project activities”. However, values of the Stationary Combustion Emission Factors in kg CO<sub>2</sub> per gallon shall not be obtained from the CDM tool, but rather from the EPA’s Emission Factors for Greenhouse Gas Inventories, available at <a href="http://www.epa.gov/climateleadership/guidance/ghg-emissions.html">http://www.epa.gov/climateleadership/guidance/ghg-emissions.html</a></p>
Description of measurement methods and procedures to be applied:	If one pump serves multiple fields, the proportion of water received by each field served by the pump shall be estimated and used to separate the baseline fuel efficiency among the fields served by one pump.
QA/QC procedures to be applied:	
Verification requirements:	Source of the data shall be provided to the Validation/Verification Body (VVB) so that the data can be independently retrieved by the VVB and compared to the data submitted at verification. If available, geotagged and date-stamped pictures of fuel and/or water meters.
Any comment:	The baseline fuel/energy use efficiency needs to be provided only on fields on which increased water use efficiency and/or increased fuel use efficiency interventions are planned.

Data Unit / Parameter:	Baseline Water Pumped
Data unit:	Gallons per year, or acre-inches per year
Description:	Total water pumped on a specific field during the growing season, before the implementation of any water use efficiency measures. This water volume shall only include water that is actively pumped and shall exclude, therefore, any water coming from precipitation.
Source of data:	The actual water pumped shall be estimated using either measured flow rates and pumping durations, or standard water usage reported in refereed peer-reviewed literature or engineering handbooks.
Description of measurement methods and procedures to be applied:	
QA/QC procedures to be applied:	The proposed Baseline Water Pumped value shall be cross-checked using multiple sources of data and any discrepancies in the magnitude of the water usage shall be justified. In case historical water usage is used, data from multiple years shall be used. The onus is on the Project Proponent to justify the Baseline Water Pumped to the VVB with reasonable assurance. However, it must be recognized that strict procedures to verify the Baseline Water Pumped without any ambiguity cannot be defined.
Verification requirements:	Source of the data shall be provided to the VVB so that the data can be independently retrieved by the VVB and compared to the data submitted at verification.
Any comment:	The Baseline Water Pumped needs to be provided only on fields on which increased water use efficiency interventions are planned.

301

Data Unit / Parameter:	Conventional drainage date determination
Data unit:	Narrative
Description:	Methodology to set the conventional, i.e., baseline, drainage date for a specific field
Source of data:	Producer or crop advisor
Description of measurement methods and procedures to be applied:	<p>A reasonably workable description of how the drainage date has been set either historically on a specific field if no Common Practice Baseline is used, or following common practice in case a Common Practice Baseline is used.</p> <p>Select from the following options:</p> <ul style="list-style-type: none"> <li>• Fixed number of days after a specific crop growth stage is reached (e.g. 50% heading, or R7). It must be described how it is determined that a specific crop growth stage is reached (i.e., through crop advisor, by producer, detailed description of phenological or morphological indicators that a crop growth stage is reached, etc.).</li> <li>• Fixed number of days relative to a growth stage simulated by the DD50 model (Counce et al., 2009) available through extension agents.</li> <li>• Conventional drain date as determined in a process-based rice model such as RicePSM or ORYZA.</li> </ul>
QA/QC procedures to be applied:	
Verification requirements:	Cross-checked with independent crop advisors or extension agents.
Any comment:	Interview with producer or crop advisor if contact information is provided

302

303 **10 Additional Data and Parameters Monitored**

Data Unit / Parameter:	Actual Fuel and/or Energy Efficiency
Data unit:	tCO <sub>2</sub> -eq per gallon of water
Description:	Fuel/Energy efficiency of increased-efficiency pumping system. Fuel/Energy efficiency shall be expressed as GHG emissions in metric tons CO <sub>2</sub> equivalent (tCO <sub>2</sub> -eq) relative to the volume of water pumped in Gallons.
Source of data:	The actual fuel efficiency shall be calculated based on either: <ul style="list-style-type: none"> <li>a) Annual records of energy and/or fuel use as well as water pumped during that period; or</li> <li>b) Energy/fuel consumption test on the increased-efficiency pumping system.</li> </ul>
Frequency	At least every 5 years
Description of measurement methods and procedures to be applied:	If one pump serves multiple fields, the proportion of water received by each field served by the pump shall be estimated and used to separate the baseline fuel efficiency among the fields served by one pump.
QA/QC procedures to be applied:	
Verification requirements:	Source of the data shall be provided to the VVB so that the data can be independently retrieved by the VVB and compared to the data submitted at verification. If available, geotagged and date-stamped pictures of fuel, energy and/or water meters.
Any comment:	The actual fuel/energy use efficiency needs to be monitored only on fields where increased fuel use efficiency interventions are conducted.

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Data Unit / Parameter:	Actual Water Pumped
Data unit:	Gallons per year
Description:	Total water pumped on a specific field during the growing season.
Source of data:	The actual water pumped shall be recorded on a specific field using a water flow meter. In the event one pump serves multiple fields, only some of which are enrolled in the Project, a flowmeter must be used on the field(s) enrolled in the Project.
Frequency	Annually
Description of measurement methods and procedures to be applied:	
QA/QC procedures to be applied:	
Verification requirements:	Source of the data shall be provided to the VVB so that the data can be independently retrieved by the VVB and compared to the data submitted at verification. If available, geotagged and date-stamped pictures of water meters shall be taken.
Any comment:	The actual water pumped needs to be monitored only on fields on which increased water use efficiency and/or increased fuel use efficiency interventions are conducted.

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Data Unit / Parameter:	Conventional Drainage Date
Data unit:	Date
Description:	Conventional drainage date when no early drainage is (or would have been) employed
Source of data:	Producer or crop advisor
Description of measurement methods and procedures to be applied:	The conventional drainage date must be set using the “Conventional drainage date determination” methodology included in the GHG project plan.
QA/QC procedures to be applied:	
Verification requirements:	Cross-checked with independent crop advisors or extension agents.
Any comment:	Interview with producer or crop advisor if contact information is provided

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